



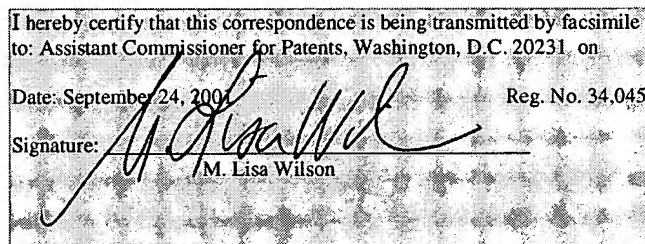
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Docket No. 111590-120

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ) Bernard John Carroll  
Serial No.: ) 09/701,926  
Filing Date: ) December 4, 2000  
Group Art Unit: ) Not Yet Assigned  
Examiner: ) Not Yet Assigned  
For: ) Phenotype Modifying Genetic Sequences



Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE**

In response to the Notification of Missing Requirements dated September 13, 2001 ("present Notices") and the Notification to Comply dated September 13, 2001, Applicant submits herewith a copy of the "Sequence listing" in computer readable form. A copy of the present Notices are enclosed.

**REMARKS**

On May 21, 2001, the PTO issued a Notification of Missing Requirements and Notice to Comply ("first Notices"). Because of an internal docketing delay, Applicant submitted a Declaration without being aware of the first Notices. Applicants then filed a response to the first Notices on August 10, 2001 that included a copy of the Declaration and a Preliminary Amendment with a substitute page for the sequence listing, a 3 1/2" computer diskette with a copy of the sequence listing in computer readable form, and the required statement under 37 C.F.R. §§1.821(f) and (g).